2020/21	% Plan Completion to Date	94.0%							
Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Assurance Rating / Engagement Type
	Fundamentals								
1120002	Council Tax	15	*	*	*	*	*		
1120003	Creditors	40	*	*	*	*	*		
1120004	Income	20	*	*	*	*			
1120005	Main Accounting	20	*	*	*	*	*	*	Acceptable
1120006	National Fraud Initiative (NFI) - Mortality Screening	10	Part	icipation i	n full NFI E	ercise in 20	)21/22	/	
5120007	Payroll	40	*	*	*	*	*	*	Acceptable
1120008	Treasury Management - Loans & Investments (CCC and DPF)	15	*	*	*	*	*	*	Acceptable
	Total Audit Days for Fundamentals	160							
	Corporate Governance Assurance								
2120001	Annual Governance Statement/Corp Gov Arrangements	20	*	*	*	*	*		
2120002	Performance Management	20	De	i ferred du	ı e to Redep	loyment of	staff	/	
2120003	Risk Management Arrangements	15	*	*	*	*	*		
2120004	Wellbeing of Future Generations Act	20	*	*	*	*			
2120005	Whistleblowing	15	*	*	*	*	*	*	Acceptable
	Total Audit Days for Corporate Governance Assurance	90							
	COVID-19								
3120001	COVID-19 Decision-Making Process	20	*	*	*	*			
3120002	COVID-19 Expenditure	20	*	*	*	*			
5420002	COVID-19 Systems and Processes (advice and support)	50	N/A	N/A	*	*	/	*	Consultation
3120003	COVID-19 Systems and Processes (Evaluation and recs)	40	*	*	*	*	*	*	Consultation
5420001	COVID-19: Free School Meals Payment Process	20	N/A	N/A	*	*	1	*	Consultation
3120004	COVID-19 Self Isolation Payment Process	10	*	N/A	*	*	/	/	Consultation
	Total Audit Days for COVID-19	160							
	Corporate Review Work	-							
4120005	Local Authority Trading Companies	20	*	/	*				
4120006	Procurement	30	*	*	*	*	*		
4120007	Safeguarding (Children)	10	*	*	*	*	*	*	
4120007	Safeguarding (Adults)	10	*	*	*	*	*	*	High
4120008	Staffing Matters (e.g. DBS   Overtime   Flexi   Leave)	20	*	*	*	*	*		
	Total Audit Days for Corporate Review Work	90							
	Counter Fraud								
1120007	National Fraud Initiative (NNDR) - review of COVID-19 Grants	20	*	N/A	*	*	*	*	Consultation
1120007	Proactive Fraud Testing	20	*	N/A	*	*	/	/	Fraud Assurance
1120009	Fraud Prevention, Detection and Investigation	30	N/A	N/A	*	*	/		Fraud Assurance
							/		
	Total Audit Days for Counter Fraud Work	70			1				

	Grants & Certification								
5120005	Burry Port Harbour	10	*	N/A	*	*	/	*	Accounts Return
5220001	Children & Communities Grant	25	*	*	*	*	*	*	Grant Certification
4120003	Education Improvement Grant - EOY	10	*	*	*	*	*	*	Grant Certificate
5220003	Education Improvement Grant - Q3	15	*	*	*	*	*	*	Acceptable
5220004	Education Improvement Grant - Q4	5	*	*	*	*	/	*	Certificate
6120005	Enable Grant	10	*	*	*	*	*	*	Grant Certificate
6120004	HSG - Homelessness	12	*	*	*	*	*	*	Grant Certificate
6120004	HSG - Rent Smart Wales	10	*	*	*	*	*	*	Grant Certificate
6120004	HSG - Supporting People	13	*	*	*	*	*	*	Grant Certificate
5220005	Local Authority Education Grant	20	*	*	*	*	*	*	Grant Certificate
5220006	Other Grants (where assurance is required for sign-off)	20	*	*	*	*	/	*	Grant Certificate
5220007	Post 16 Grant	10	*	N/A	*	*	*	*	Grant Certificate
4120004	Pupil Deprivation Grant - EOY	10	*	*	*	*	*	*	Grant Certificate
5220008	Pupil Deprivation Grant - Q3	15	*	*	*	*	*	*	Acceptable
5220009	Pupil Deprivation Grant - Q4	5	*	*	*	*	/	*	Certificate
5120002	Trust Funds - Arbour Stephens	5	*	*	*	*	*	*	Certificate
5120004	Trust Funds - Dyfed Welsh Church Fund	5	*	*	*	*	*	*	Certificate
5120003	Trust Funds - Minnie Morgan	5	*	*	*	*	*	*	Certificate
5120001	Trust Funds - Oriel Myrddin	5	*	*	*	*	*	*	Acceptable
5220002	Wales Pension Partnership	5	*	N/A	*	*	*	*	Accounts Return
5220010	Regional Development Co-ordinator Grant	5	*	*	*	*	*	*	Grant Certificate
	Total Audit Days for Grants & Certification	220				1			
	Chief Executive's Department (not incl IT)								
8120001	Property Management (Follow Up)	10	*	*	*	*			
1120001	Departmental Expenditure (CEX)	10	*	*	*	*	*	*	Acceptable
8120002	Pentre Awel	10	*	*	*				
8120003	Net Zero Carbon	10	*	*	*	*	*	*	High
8120004	Regeneration Strand	10	*	*	*	*	/	*	Consultation
	Total Audit Days for Chief Executive's Department (not incl IT)	50							
	Chief Executive's Department: IT								
4120001	Covid-19 CAATS Analysis (FSM)	10	*	*	*	*	*	*	Consultation
8220001	Office 365, including TEAMS and Sharepoint	15	*	*	*	*	*	*	Consultation
8220002	Bring Your Own Device	5	*	*	*	*	*	*	Acceptable
8220003	Cyber Security	10	*	*	*	*	*	*	Acceptable
	Total Audit Days for Chief Executive's Department: IT	40				1			
	Communities								
6120001	Departmental Expenditure: Leisure	15	*	*	*	*	*	*	Acceptable
6120002	Departmental Expenditure: Housing	10	*	*	*	*	*	*	Acceptable
0120002	Departmental Expenditure: Social Care	10	*	*	*	*	*	*	Acceptable
			*	*	*	*	*	*	Acceptable
6120003	Sport Wales/Active Communities	10							
6120003 8320001	Sport Wales/Active Communities	10 5	*	*	*	*	*	*	High
	Sport Wales/Active Communities Ski Centre Follow Up Financial Assessments & Collections	10 5 10			*	*	*	*	High

	Total Audit Days for Communities								
	Corporate Services								
5120006	Departmental Expenditure (Corp Serv)	10	*	*	*	*	*	*	Acceptable
8420001	Financial Management Other	10	*	*	*	*	*	*	Consultation
	Total Audit Days for Corporate Services	20			·	·			
	Education & Children								
4120002	Departmental Expenditure (Education)	10	*	*	*	*	*	*	Acceptable
8520001	Fostering	10	*	*	*	*	*	*	Acceptable
8520002	School Meals/ParentPay	10	*	*	*	*	*	*	Consultation
8520003	School Questionnaires	20	*	*	*	*	/	*	Questionnaire Assessment
8520003	School Visits/Reviews	20	*	*	*	*	*	*	Consultation
8520004	Specialist Provision Units	10	*	*	*	*	*	*	Low
	Total Audit Days for Education & Children	80							
	Environment								
8620001	Ash Dieback	10	*	*	*	*	*	*	Acceptable
7120001	Departmental Expenditure (Environment)	20	*	*	*	*	*	*	Acceptable
8620002	Flood Defence & Coastal Protection	10	*	*	*	*	*	*	Acceptable
8620003	Property Maintenance	10	*	*	*	*	*	*	High
8620004	Waste Management & Recycling Obligations	10	*	*	*				
	Total Audit Days for Environment	60							
			% Co	omplete to Date		94.0%	94.0%		